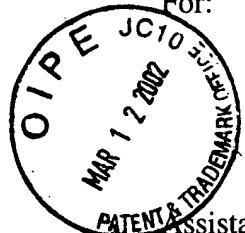


b3 c0

**PATENT APPLICATION**

**IN THE U.S. PATENT AND TRADEMARK OFFICE**

In Re Application of: )  
Iko Knyphausen et al. )  
Serial No.: 09/975,084 ) Group Art Unit: Unassigned  
Filed: October 12, 2001 ) Examiner: Unassigned  
For: CLIENT-DRIVEN WORKFLOW )  
ENVIRONMENT ) Atty. Dkt. No. 04832.00068



*IDS w/ Replies*

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §1.97(c), Applicants wish to make the following documents listed on the attached PTO-1449 of record in the above-identified application. One copy of the references are attached.

It is respectfully requested that the Examiner fully consider the documents, initial the enclosed Form PTO-1449 in the appropriate place to indicate that these documents have been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609.

Iko Knyphausen et al. – U.S. Application No. 09/975,084

No fee is believed to be associated with the filing of this statement. However, if the Assistant Commissioner determines otherwise, please charge our Deposit Account No. 19-0733.

Respectfully submitted,

By:

Joseph M. Potenza

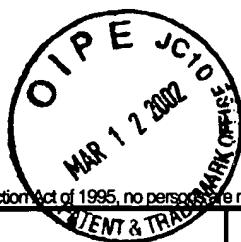
Registration No. 28,175

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of 1

*Complete if Known*

Application Number	09/975,084
Filing Date	October 12, 2001
First Named Inventor	Iko Knyphausen et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned

Attorney Docket Number 04832.00068

U.S. PATENT DOCUMENTS					
Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-5,005,127	04/02/1991	Kugimiya et al.	
		US-5,640,575	06/17/1997	Maruyama et al.	
		US-5,848,386	12/08/1998	Motoyama	
		US-5,917,484	06/29/1999	Mullancy	
		US-5,974,413	10/26/1999	Beauregard et al.	

## FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		PCT	3/17/1994	Caterpillar Inc.		*

<sup>1</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>2</sup> Applicant's unique citation designation number (optional). <sup>3</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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## OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
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<sup>2</sup> Unique citation designation number (optional). <sup>3</sup> Applicant is to place a check mark here if English language Translation is attached.

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